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Ethical Investment Questionnaire

We have a duty to ensure that any advice we provide is appropriate to your needs, objectives and circumstances. In order to do this we need to obtain certain factual information about you and your partner.

YOU AND YOUR PARTNER

*Tell us about yourself,
and your partner.
(The applicants)*

Your Full Name

Date of Birth

Marital Status

Nationality

Partners Full Name

Date of Birth

Marital Status

Nationality

Address

Postcode

Home Tel No.

Mobile Tel No.

ABOUT YOUR INVESTMENT

HOW MUCH DO YOU WANT TO INVEST

Lump Sum

£

Monthly

£

Are you investing for:

Income

Capital Growth

Income

Capital Growth

YOUR INVESTMENT EXPERIENCE (select one)

Very little knowledge and experience

Partner

Some investment knowledge and understanding

Experienced private investor with good investment knowledge

ATTITUDE TO INVESTMENT RISK (tick those that apply)

Your require guarantees

You are prepared to accept some fluctuation in value

You have an understanding of risk/reward strategy

You have not invested in the past

You have invested in the past

You have current investments and/or hold similar investments

You are able to commit to investing your capital for a period greater than 4 years

You are looking for greater than average returns and are prepared to accept a risk to capital

TYPE OF INVESTMENT REQUIRED

ISA (new investment)

Unit Trust

ISA (transfer)

Investment Bond

PEP (transfer)

Personal Pension

ADDITIONAL NOTES

Provide any additional information that is relevant to your circumstances, objectives and requirements.

CLIENT DECLARATION

In signing this declaration I/we confirm that the information provided reflects my/our current situation and objectives. I/we confirm we have been provided with an initial disclosure document and understand the information supplied may be stored on computer records.

Name

Signature

Date

Name

Signature

Date

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